

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. §371**

Key Docket Number

59150.8010

U.S. Application No. (if known, see 37 CFR §1.5)

09/937839
Not yet Assigned

International Application No.

PCT/JP01/00782

International Filing Date

02 February 2001

Priority Date Claimed

02 February 2000

Title of Invention

VIRUS ENVELOPE VECTOR FOR GENE TRANSFER

Applicant(s) for DO/EO/US

Yasufumi Kaneda

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. §371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. §371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)) (unsigned).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR §1.97 and §1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR §3.28 and §3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSTITUTE** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of Power of Attorney and/or Address letter.

page 2 of 2

DOCKET NO. 59150.8010

IN THE UNITED STATES OF AMERICA AS AN ELECTED OFFICE
(EO/US)

IN RE APPLICATION OF: Yasufumi Kaneda

SERIAL NO.: Not yet Assigned

PCT FILING DATE: 02 February 2001

PRIORITY DATE: 02 February 2000

Title: *Virus Envelope Vector for Gene Transfer*

CERTIFICATE OF MAILING BY EXPRESS MAIL

Box: PCT
Assistant Commissioner for Patents
Washington, D.C. 20231
Attn: DO/EO/US

Sir:

Express Mail Label No: EL 889 535 536 USDate of Deposit: 28 September 2001

I hereby certify that the attached filing: application transmittal under 35 USC § 371, an oath or declaration of the inventors under 35 U.S.C § 371, and a Preliminary Amendment, are being deposited with the United States Postal Service "Express Mail Post office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above, and is addressed to the Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231, Attention: DO/EO/US.

Date: September 28, 2001Name: Jennifer L. Mahoney
Jennifer L. Mahoney

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